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#13

TELEFACSIMILE MESSAGE

JUL 11 2000

TECHNOLOGY CENTER 2800

July 11, 2000

8472-018

Sender: Anne T. Stewart

Pages (including this page): 8

| Recipient | Address | Facsimile Number | Your Reference |
|---|---|------------------|----------------|
| Ms. La Shaun O'Bryant Refund Section Room 10E09 | Office of Finance U.S. Patent and Trademark Office | 703-308-7722 | 09/367,543 |

Confirmation copy *will not* follow.**MESSAGE:**

Attached is the request for refund which was filed as per our telephone conversation this afternoon. I have also attached a copy of the stamped postcard. Our original request was for \$1,560.00 (half of the filing fee of \$3,120.00). You told me today that \$840 was refunded to our account on November 1. However, when I called on April 17, 2000 to inquire about the refund request, I was told that the paperwork was lost and to resubmit this request via facsimile along with a copy of the postcard. This was done that same day. On April 24, we received correspondence from the PTO acknowledging the request, a copy of which is also attached. Could you please find out if we are due a further refund? If so, could you please let me know the amount to be refunded and when we can expect for the refund to be credited back to our deposit account?

Thank you for your assistance in this regard.

If you have any problems regarding this transmission, please contact Anne T. Stewart at (202) 496-4621

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Express Mail No. HAND CARRY
Date Mailed XXXXXX October 6, 1999 XXXXXX
First Class Mail
Ser. No. U97367,543
Inventor Alexandr Alexandrovich MIROSHIN et al.
Filed August 16, 1999
For A POLARIZER AND LIQUID CRYSTAL DISPLAY ELEMENT

- () Affidavit/Declaration
() Amendment
() Application Pages
() Claims Drawings OCT 06 1999
() Appeal, Notice of
() Assignment
() Brief (in triplicate)
(X) Declaration & Power of Attorney (Exec.)
() Design Application
() Disclaimer
() Disclosure Statement
() w/refs. () w/o refs.
() Drawings Formal
_____Sheets Figures
- () Fee Address Indication Form
() Fee Calculation
() Issue Fee Transmittal
() Letter
() Oral Hearing Req./Confirm.
() Petition to Extend Time
() Per. under 37 C.F.R.
() Power of Attorney
_____Associate w/Revocation
() Sequence Listing w/Computer
Readable and Paper Copies
() Small Entity Statement
() Status Letter
() Transmited Letter

Other: Transmittal of Ver. Statements Claiming Small Entity & Req.
for Refund w/2 exec. decs., Submission of Exec. decs.
File No. 8472-018 Sender: HCJ/KRM/ats

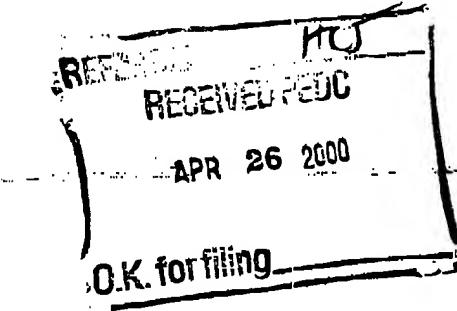




UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

April 24, 2000

PENNIE & EDMONDS
Harry C Jones III
1667 K Street NW
Washington DC 20006



Dear Sir/Madam,

This is to acknowledge receipt of your refund request in the amount of \$ 1560.00

for patent/serial number 09/367543

Your request has been forwarded to La Shaun O'Bryant

for review and processing.

To inquire about the status of your refund request, please call (703) 308-4361

Thank you,

Refund Section, Office of Finance

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Alexandr Alexandrovich MIROSHIN et al.

Application No.: 09/367,543

Group Art Unit: To Be Assigned

Filed: August 16, 1999

Examiner: To Be Assigned

For: A POLARIZER AND LIQUID
CRYSTAL DISPLAY ELEMENT

Attorney Docket No.: 8472-018

FAX COPY RECEIVED

JUL 11 2000

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**TRANSMITTAL OF VERIFIED STATEMENTS CLAIMING
SMALL ENTITY STATUS UNDER 37 C.F.R. §§§1.9(f), 1.27(b) AND 1.27(f)
AND REQUEST FOR REFUND**

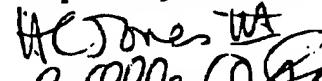
Assistant Commissioner for Patents
BOX PCT
Washington, D.C. 20231

Sir:

Enclosed herewith are two Verified Statement Claiming Small Entity Status in the above-identified application. It is respectfully requested that the applicants be accorded Small Entity Status in accordance with 37. C.F.R. §§§1.9(f), 1.27(b) and 1.27(d).

A patent application was filed on August 16, 1999, without a claim to Small Entity Status and a fee of \$3,120.00 was paid. In accordance with 37 C.F.R. § 1.28(a), applicants request a refund of the excess amount paid: \$1,560.00. Please credit the refund to Pennie & Edmonds LLP Deposit Account No. 16-1150. This form is being submitted in duplicate.

Respectfully submitted,



Harry C. Jones, III (Reg. No.)

(#3076)
20,280

PENNIE & EDMONDS LLP
1667 K Street, N.W.
Washington, DC 20006
(202) 496-4400

Date October 6, 1999

Enclosures